

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
**37 C.F.R. § 3.73(b) Statement**

**RECEIVED**

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Technology Center 2600

Applicant: Joseph Reid Henrichs

For: COVER FOR MAGNETIC OR OPTICAL HARD DISK DRIVE

Serial No.: 09/891,427

Filed: June 26, 2001

Optical Storage Devices, Inc. (a Delaware corporation) is the assignee of the entire right, title and interest in the patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application identified above. The assignment was recorded in the United States Patent and Trademark Office on \_\_\_\_\_ at  
Reel \_\_\_\_\_, Frame \_\_\_\_\_ or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s) of the patent application identified above to the current assignee as shown below:

1. From \_\_\_\_\_ To: \_\_\_\_\_

The document was recorded in the United States Patent and Trademark Office on \_\_\_\_\_ at  
 Reel \_\_\_\_\_, Frame \_\_\_\_\_, or for which a copy thereof is attached.

- ☐ Copies of assignments or other documents in the chain of title are attached.

The undersigned (whose title is supplied below) is empowered to sign this statement on behalf of the assignee.

**Power Of Attorney**

I hereby revoke all previous powers of attorney, if any, and appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith:

Roberto Capriotti  
 George D. Dickos,  
 Thomas J. Edgington,  
 Christine R. Ethridge,  
 Kami Lammon-Hilinski  
 Jesse A. Hirshman,  
 Mark G. Knedelsen,  
 William E. Kuse,  
 James R. Kyper,  
 Michael D. Lazzara,

Reg. No. 46,599  
 Reg. No. 30,048  
 Reg. No. 34,324  
 Reg. No. 30,557  
 Reg. No. 51,913  
 Reg. No. 40,016  
 Reg. No. 42,747  
 Reg. No. 41,919  
 Reg. No. 27,346  
 Reg. No. 41,142

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 Bernard G. Pike  
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 David Schramm  
 Robert D. Yeager

Reg. No. 36,360  
 Reg. No. 37,397  
 Reg. No. 44,249  
 Reg. No. 53,396  
 Reg. No. 46,976  
 Reg. No. 40,120  
 Reg. No. 46,993  
 Reg. No. 43,027  
 Reg. No. 41,295  
 Reg. No. 25,047

**Please Direct All Correspondence to:** Mark G. Knedelsen, Esq.  
 Kirkpatrick & Lockhart, LLP  
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 Pittsburgh, PA 15222-2312

Serial No. 09/891 427

PATENT

**Declaration**

I hereby declare that my presentation of this paper constitutes a certification under 37 C.F.R § 10.18, which provides, in part, that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and that further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful statements may jeopardize the validity of the application and any patent issuing therefrom.

Date

01-22-2004

Signature

Joseph Reid Henrichs

Name

Joseph Reid Henrichs

Title

President

Attorney's Docket No. 030442**PATENT**

For: ☒ U.S. and/or ☒ Foreign Rights  
For: ☒ U.S. Application or  
☐ U.S. Provisional Application  
For: ☐ U.S. Patent  
For: ☐ PCT Application  
By: ☒ Inventor(s) or ☐ Present Owner

**ASSIGNMENT OF INVENTION**

In consideration of the payment by ASSIGNEE to ASSIGNOR of the sum of One Dollar (\$1.00), the receipt of which is hereby acknowledged, and for other good and valuable consideration,

**ASSIGNOR:**

Inventor(s) or person(s) or  
entity(ies) who own the  
Invention

Joseph Reid Henrichs

(type or print name(s) of ASSIGNOR(S))

641 Northeast Swann Circle

Address

Lee's Summit, Missouri 64086-8464U.S.A.

Nationality

(if assignment is by person or entity to whom invention was previously assigned  
and this was recorded in PTO, add the following)

Recorded on \_\_\_\_\_

Reel \_\_\_\_\_

Frame \_\_\_\_\_

hereby sells, assigns and transfers to

**ASSIGNEE:**Optical Storage Devices, Inc.

(type or print name(s) of ASSIGNEE(S))

641 Northeast Swann Circle

Address

Lee's Summit, Missouri 64086-8464(a Delaware Corporation)

Nationality

and the successors, assigns and legal representatives of the ASSIGNEE

(complete one of the following)

☒ the entire right, title and interest

☐ an undivided \_\_\_\_\_ percent ( \_\_\_\_\_ %) interest for the United States and its territorial possessions

(check the following box, if foreign rights are also to be assigned)

☒ and in all foreign countries, including all rights to claim priority, in and to any and all improvements which are disclosed in the invention entitled: **COVER FOR MAGNETIC OR OPTICAL HARD DISK DRIVE**

Name of Inventor: Joseph Reid Henrichs

(check and complete (a), (b), (c), (d), (e), (f) or (g))

and which is found in

(a) ☐ U.S. patent application executed on even date herewith

(b) ☐ U.S. patent application executed on \_\_\_\_\_

(c) ☐ U.S. provisional application naming the above inventor(s) for the above-entitled invention

☐ Express mail label no.: \_\_\_\_\_

Mailed: \_\_\_\_\_

☐ To comply with 37 CFR 3.21 for recordal of this assignment, I, an ASSIGNOR signing below, hereby authorize and request my attorney to insert below the filing date and application number when they become known.

(d) ☒ U.S. application no.: 09/891,427 Filed: June 26, 2001

(e) ☐ International application no. \_\_\_\_\_

(f) ☐ U.S. patent no. \_\_\_\_\_ Issued \_\_\_\_\_

☐ A change of address to which correspondence is to be sent regarding patent maintenance fees is being sent separately.

(also check (g), if foreign application(s) is also being assigned)

(g) ☒ and any legal equivalent thereof in a foreign country, including the right to claim priority

and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, renewal, or substitute thereof, and as to letters patent any reissue or re-examination thereof.


ASSIGNOR hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation or proceeding related thereto and will promptly execute and deliver to ASSIGNEE or its legal representatives any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue and enforce said application, said invention and said Letters Patent and said equivalents thereof which may be necessary or desirable to carry out the purposes thereof.

IN WITNESS WHEREOF, I/We have hereunto set hand and seal this \_\_\_\_\_ day of \_\_\_\_\_

**Date of Signing**

**WARNING:** The date of signing must be the same as the date of execution of the application, if item (a) was checked above.

 01-27-2004  
Joseph Reid Henrichs

(if ASSIGNOR is a legal entity, complete the following information)

\_\_\_\_\_  
(type or print the name of the above person  
authorized to sign on behalf of ASSIGNOR)

\_\_\_\_\_  
Title

**NOTE:** No witnessing, notarization or legalization is necessary. If the assignment is notarized or legalized, then it will only be prima facie evidence of execution. 35 USC 261. Use next page if notarization is desired.

☐ Notarization or Legalization Page Added.